

# Numerical studies of the spatial and temporal distributions of seismic events under different confining conditions

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## Summary

The studies of the influences of confining pressure (P<sub>c</sub>) on the damage and failure of rocks are valuable for mining activities and hydrocarbon explorations. Often, these activities need geophysical suirveilance and can be monitored by studing the associated seismic energy release (i.e. induced seismicity). For example, in hydraulic fracturing operations, microseismic (MS) activities are widely used to monitor and optimize stimulations. Similarly, at the laboratory scale compression tests and the associated acoustic emissions (AE) are utilized to characterize rock failure.

In this contribution, we used the combined finite and discrete element method (FDEM) to examine the spatial and temporal distribution patterns of AE in confined compression tests conducted on the Stanstead Granite.  $P_c$  conditions ranging from 0 to 6 MPa which corresponding to the rock brittle macroscopic response are considered in this work. We studied AE that occurred before and after the yielding stress (peak point) separately. Simulations demonstrated that under the same  $P_c$ , AE were more diffused at prepeak stage and clustered at post-peak stage, which was illustrated by the drop of the spatial fractal dimension (D-value). One the other hand, D-value remained almost constant at pre-peak stage regardless the variation of  $P_c$ , but increased with increasing  $P_c$  at the post-peak stage, indicating that the confining condition influences the transition in spatial distribution patterns between pre- and post-peak stages. Moreover, simulations showed that the drop in D-value is closely related to the increase in AE rate, and at low confinement, these variations are more pronounced and the rock tended to fail rapidly; contrarily, when  $P_c$  is relatively high, these variations are minimal and the rock remained intact.

#### Introduction

AE generated by fracturing processes are often utilized to investigate damage in rock volumes during laboratory tests (Lockner, 1993; Lei et al., 2004). Since small scale fracturing are found to obey similar statistics as large seismic activities, laboratory observations regarding AE are indicative for studies on crustal or regional seismic activities (Lockner, 1993). However, these laboratory experiments are destructive tests requiring much preparation and resources. Meanwhile, numerical methods simulating crack nucleation and propagation have been improved, and it is possible to use numerical simulations to gain detailed insights into seismic activities (e.g., Hazzard & Young, 2000; Lisjak et al., 2013; Zhao et al., 2014). We utilize FDEM to simulate AE in compression tests on Stanstead Granite at the laboratory scale under various confining pressure (P<sub>c</sub>) conditions. FDEM has the ability to explicitly capture the entire loading and failure path (Mahabadi, 2012; Lisjak et al., 2013) and the associated seismic activities (Zhao et al., 2014).

## **Theory and/or Method**

Two-dimensional (2-D) biaxial tests subjected to  $P_c$  were modelled in this study. In a FDEM model, the rock sample is discretized using an unstructured triangular finite element mesh. Elastic triangular elements represent the mineral grains composing the rock, and are connected each other by four-noded cohesive elements, which represent grain boundaries (Munjiza, 2004; Mahabadi et al., 2012). Such cohesive elements can deform elastically according to the force excerted on them and eventually break when the slip or the opening displacement is excessively large. FDEM has the ability to capture the elastic deformation of different solids, crack propagation, and corresponding particle motions (Munjiza, 2004; Mahabadi et al., 2012).

In this study, properties of the Stanstead Granite were assigned to the numerical rock sample and the spatial heterogeneity of mineral phases was stochastically generated based on a discrete Poisson distribution of the rock mineral composition (Mahabadi, 2012). Parameters assigned to the Stanstead Granite model were chosen according to (Mahabadi, 2012) and Lisjak et al. (2013). Detailed model calibration procedure and laboratory compression tests results can be found in Mahabadi (2012). AE events were recorded using the internal monitoring approach introduced and verified by Lisjak et al. (2013). Y-Geo (Mahabadi et al., 2012) and its graphical user interface Y-GUI (Mahabadi et al., 2010) were used to build and run the FDEM models.

The temporal distribution of AE is quantitatively characterized by AE rate, which is calculated by dividing the total number of events occurred in a stage over the simulated duration of this stage. On the other hand, the spatial distribution of AE is evaluated by employing the spatial fractal dimension (D-value) following the approach in Hirata (1987). In a 2-D scenario, D=2 implies complete randomness, whereas the smaller D, the higher degree of clustering.

## Examples

A series of simulations were carried out with confining condition ranging from 0 to 6 MPa. The increasing  $P_c$  modifies the failure behaviour of rocks. Macroscopically, the unconfined rock tended to fail by lateral splitting. Once  $P_c$  was imposed, vertical fracturing was limited, and events occurred along tilted shear bands oriented approximately 20° from the vertical axis. This angle gradually increased to 30° with increasing  $P_c$ . Such observations agree with the literature (Cook, 1976; Hoek & Brown, 1980).

Regardless of  $P_c$ , AE events, at the pre-peak stage, have relatively low magnitude and they are randomly spatially distributed (e.g. Figure 1a and c). In contrast, during the post-peak stage, AE events are more clustered at low  $P_c$  than at high  $P_c$  (e.g. Figure 1b vs 1d). These observations are mathematically captured by the variation of D-value. Pre-peak D-value is relatively stable at 1.56. However, at post-peak stage, D-value increases from 1.25 to 1.54 with  $P_c$  increases from 0 to 6 MPa. To further investigate the variation of D-value with increasing  $P_c$ , we consider also the change of the relative AE rate.

AE rates are calculated for pre- and post-peak stages according to their simulated durations (Figure 2a). Simulations indicate that during the pre-peak stage, AE rates are very low, and they decrease gradually with increasing  $P_c$ . On the other hand, during the post-peak stage, AE rates, in low confined rocks, are significantly higher than higher confined ones. The influence of  $P_c$  on AE rate becomes much more significant during the post-peak stage. AE rates at low  $P_c$  (0 - 2 MPa) are exponentially higher than those recorder for higher  $P_c$  (Figure 2a). We interpret that generally, at pre-peak stage, samples experience steady-state deformation independently of the applied confining stress; at post-peak stage, low confined samples go through unstable rapid and large deformations, while highly confined samples are able to mobilize friction at all fracture interface, resulting in less dynamic failure motions.

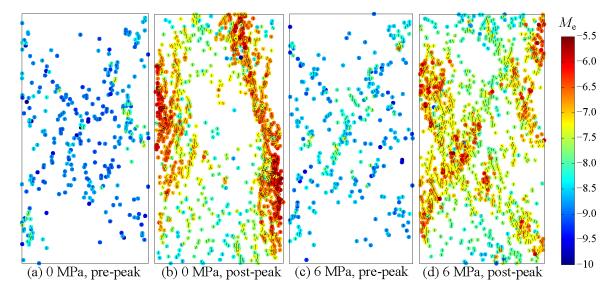


Figure 1. AE location and magnitude ( $M_e$ ), taking the unconfined and 6 MPa confined simulations as examples.

Under the same confining condition, the drop of D-value, which occurs during the transit between pre- and the post-peak, is caused by the coalescence of microfractures and the spatial localization of the fracturing process. At low  $P_c$ , the D-value drops significantly, which is related to the highly concentrated intensive damage along fault planes; meanwhile at relatively high  $P_c$ , the D-value drops very little which indicates that the deformation styles before and after peak are similar.

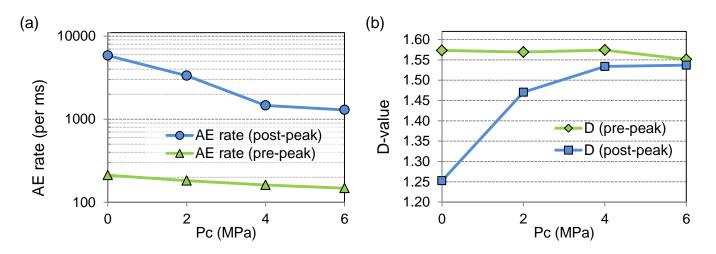


Figure 2. (a) AE rate for pre- and post-peak stages of simulations; and (b) D-values calculated for pre- and post-peak stages of simulations.

The difference between the D-values measured during the pre- and the post-peak decreases. This variation indicates that at high P<sub>c</sub>, microfractures in rocks tend to be more homogeneous in terms of spatial distribution. This observation was also reported in the literature (Johnson, 1992). Considering the through-going faults in the low confined samples (e.g. Figure 1a), the dramatic drop of D-value may be considered a precursor to the failure of the rock (Hirata et al., 1987), and the dramatically increased AE rates in these samples agree with such interpretation.

#### Conclusions

We investigated the brittle deformation and failure of rocks using the FDEM approach, and we quantitatively assessed spatial and temporal distributions of AE. Simulations demonstrated that (1)  $P_c$  promotes diffused damage and decreases the AE rate; (2) damage localization (drop in D-value) which is accompanied by the increase in AE rate; and (3) the influence of  $P_c$  on AE activities is more significant during the post-peak rather than the pre-peak. These observations correspond well with the literature showing the potential of FDEM in simulating induced seismicity. Further development, such as three dimensional (3-D) simulations, will provide even more powerful tools to carry out realistic and complex simulations.

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